

44

MP +

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/990,869	
		Filing Date	November 21, 2001	
		First Named Inventor	Eric C. Anderson et al.	
		Group Art Unit Number	2621	
		Examiner Name	UNKNOWN	
Total Number of Pages in This Submission		20*	Attorney Docket Number	18602-06513 (P1975R1)

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts of Reissue	<input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) []
<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Reissue	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Reissue Declaration (2 documents)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input checked="" type="checkbox"/> Consent of Assignee & 3.73(b) Certificate	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input checked="" type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Information Disclosure Statement & PTO-1449 <input checked="" type="checkbox"/> Copies of 23 IDS Cited References	<input type="checkbox"/>
<input type="checkbox"/> Request for Corrected Filing Receipt	<input type="checkbox"/>
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input type="checkbox"/>
<input type="checkbox"/> Response/Amendment: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS:

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Kirk A. Gottlieb, Reg. No. 42,596	Dated:	March 26, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Kirk A. Gottlieb	Dated:	March 26, 2002
Express Mail Mailing Number (optional):			

0002/PTO(modified) Rev. 10/2000	U.S. Department of Commerce Patent and Trademark Office	Complete if Known
FEE TRANSMITTAL TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$ 1,614.00)		Application Number: 09/990,869
		Filing Date: November 21, 2001
		First Named Inventor: Eric C. Anderson <i>et al.</i>
		Group Art Unit: 2621
		Examiner Name: UNASSIGNED
		Attorney Docket Number: 18602-06513

METHOD OF PAYMENT	FEE CALCULATION (continued)
--------------------------	------------------------------------

<p>1. The Commissioner is hereby authorized to:</p> <p><input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP</p> <p>A Duplicate Copy of this authorization is attached</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other</p> <p>FEE CALCULATION (fees effective 10/01/2000)</p> <p>1. FILING FEE</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>101/\$740</td> <td>201/\$370</td> <td>Utility Filing</td> <td></td> </tr> <tr> <td>106/\$330</td> <td>206/\$165</td> <td>Design Filing</td> <td></td> </tr> <tr> <td>108/\$740</td> <td>208/\$370</td> <td>Reissue</td> <td>740</td> </tr> <tr> <td>114/\$160</td> <td>214/\$80</td> <td>Provisional Filing</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (1)</td> <td>(\$ 740)</td> </tr> </tbody> </table> <p>2. CLAIMS</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>103/\$18</td> <td>203/\$9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102/\$84</td> <td>202/\$42</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104/\$280</td> <td>204/\$140</td> <td>Multiple dependent claim</td> </tr> <tr> <td>109/\$84</td> <td>209/\$42</td> <td>Reissue independent claims over original patent</td> </tr> <tr> <td>110/\$18</td> <td>210/\$9</td> <td>Reissue claims in excess of 20 and over original patent</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$740	201/\$370	Utility Filing		106/\$330	206/\$165	Design Filing		108/\$740	208/\$370	Reissue	740	114/\$160	214/\$80	Provisional Filing		SUBTOTAL (1)			(\$ 740)	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$84	202/\$42	Independent claims in excess of 3	104/\$280	204/\$140	Multiple dependent claim	109/\$84	209/\$42	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	<p>3. ADDITIONAL FEES</p> <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td>130</td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month[†]</td> <td></td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td>Extension for response within second month[†]</td> <td></td> </tr> <tr> <td>117/\$920</td> <td>217/\$460</td> <td>Extension for response within third month[†]</td> <td></td> </tr> <tr> <td>118/\$1,440</td> <td>218/\$720</td> <td>Extension for response within fourth month[†]</td> <td></td> </tr> <tr> <td>128/\$1,960</td> <td>228/\$980</td> <td>Extension for response within fifth month[†]</td> <td></td> </tr> <tr> <td>119/\$320</td> <td>219/\$160</td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>141/\$1,280</td> <td>241/\$640</td> <td>Petition to revive unintentionally abandoned application</td> <td></td> </tr> <tr> <td>142/\$1,280</td> <td>242/\$640</td> <td>Utility Issue Fee (Or Reissue)</td> <td></td> </tr> <tr> <td>143/\$460</td> <td>243/\$230</td> <td>Design Issue Fee</td> <td></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>126/\$180</td> <td>126/\$180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>179/\$740</td> <td>279/\$370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146/\$740</td> <td>246/\$370</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149/\$740</td> <td>249/\$370</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3">Other fee (specify):</td> <td></td> </tr> <tr> <td colspan="3">SUBTOTAL (3)</td> <td>(\$ 130)</td> </tr> </tbody> </table> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 10px;"> <thead> <tr> <th colspan="2">(Col. 1)</th> <th colspan="2">(Col. 2)</th> <th colspan="2">(Col. 3)</th> <th colspan="2"></th> </tr> <tr> <th>For</th> <th>No. of Existing Claims</th> <th>minus*</th> <th>Highest No. Previously Paid For</th> <th>=</th> <th>Extra**</th> <th>x</th> <th>Fee</th> </tr> </thead> <tbody> <tr> <td>TOTAL</td> <td>41</td> <td>minus*</td> <td>20 or 23</td> <td>=</td> <td>18</td> <td>x</td> <td>18</td> </tr> <tr> <td>INDEP</td> <td>8</td> <td>minus*</td> <td>3 or 3</td> <td>=</td> <td>5</td> <td>x</td> <td>84</td> </tr> <tr> <td colspan="7"></td> <td>= 324</td> </tr> <tr> <td colspan="7"></td> <td>= 420</td> </tr> </tbody> </table> <p>[] First presentation of multiple dependent claim</p> <p style="text-align: right;">SUBTOTAL (2) (\$ 744)</p>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	130	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month [†]		116/\$400	216/\$200	Extension for response within second month [†]		117/\$920	217/\$460	Extension for response within third month [†]		118/\$1,440	218/\$720	Extension for response within fourth month [†]		128/\$1,960	228/\$980	Extension for response within fifth month [†]		119/\$320	219/\$160	Notice of Appeal		141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application		142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)		143/\$460	243/\$230	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		126/\$180	126/\$180	Submission of Information Disclosure Statement		179/\$740	279/\$370	Request for Continued Examination (RCE)		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):				Other fee (specify):				SUBTOTAL (3)			(\$ 130)	(Col. 1)		(Col. 2)		(Col. 3)				For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	Fee	TOTAL	41	minus*	20 or 23	=	18	x	18	INDEP	8	minus*	3 or 3	=	5	x	84								= 324								= 420
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																																																																																																																
101/\$740	201/\$370	Utility Filing																																																																																																																																																																																	
106/\$330	206/\$165	Design Filing																																																																																																																																																																																	
108/\$740	208/\$370	Reissue	740																																																																																																																																																																																
114/\$160	214/\$80	Provisional Filing																																																																																																																																																																																	
SUBTOTAL (1)			(\$ 740)																																																																																																																																																																																
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description																																																																																																																																																																																	
103/\$18	203/\$9	Claims in excess of 20																																																																																																																																																																																	
102/\$84	202/\$42	Independent claims in excess of 3																																																																																																																																																																																	
104/\$280	204/\$140	Multiple dependent claim																																																																																																																																																																																	
109/\$84	209/\$42	Reissue independent claims over original patent																																																																																																																																																																																	
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent																																																																																																																																																																																	
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																																																																																																																
105/\$130	205/\$65	Surcharge - late filing fee or oath	130																																																																																																																																																																																
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																																																																																																																																																																	
147/\$2,520	147/\$2,520	For filing a request for reexamination																																																																																																																																																																																	
115/\$110	215/\$55	Extension for response within first month [†]																																																																																																																																																																																	
116/\$400	216/\$200	Extension for response within second month [†]																																																																																																																																																																																	
117/\$920	217/\$460	Extension for response within third month [†]																																																																																																																																																																																	
118/\$1,440	218/\$720	Extension for response within fourth month [†]																																																																																																																																																																																	
128/\$1,960	228/\$980	Extension for response within fifth month [†]																																																																																																																																																																																	
119/\$320	219/\$160	Notice of Appeal																																																																																																																																																																																	
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application																																																																																																																																																																																	
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)																																																																																																																																																																																	
143/\$460	243/\$230	Design Issue Fee																																																																																																																																																																																	
122/\$130	122/\$130	Petitions to the Commissioner																																																																																																																																																																																	
126/\$180	126/\$180	Submission of Information Disclosure Statement																																																																																																																																																																																	
179/\$740	279/\$370	Request for Continued Examination (RCE)																																																																																																																																																																																	
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																	
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																	
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																	
Other fee (specify):																																																																																																																																																																																			
Other fee (specify):																																																																																																																																																																																			
SUBTOTAL (3)			(\$ 130)																																																																																																																																																																																
(Col. 1)		(Col. 2)		(Col. 3)																																																																																																																																																																															
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	Fee																																																																																																																																																																												
TOTAL	41	minus*	20 or 23	=	18	x	18																																																																																																																																																																												
INDEP	8	minus*	3 or 3	=	5	x	84																																																																																																																																																																												
							= 324																																																																																																																																																																												
							= 420																																																																																																																																																																												

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Kirk A. Gottlieb	Reg. Number	42,596
Signature		Date	March 26, 2002

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



PATENT

3

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Eric C. Anderson *et al.*

APPLICATION NO.: 09/990,869

FILING DATE: November 21, 2001

TITLE: MODULAR DIGITAL IMAGE PROCESSING VIA AN IMAGE PROCESSING
CHAIN WITH MODIFIABLE PARAMETER CONTROLS

EXAMINER: UNKNOWN

GROUP ART UNIT: 2621

ATTY. DKT. NO.: 18602-06513 (P1975R1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: March 26, 2002

By: [Signature]

Kirk A. Gottlieb, Reg. No. 42,596

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated February 21, 2002 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ two original, signed Reissue Declarations;
- ☒ an original, signed Consent of Assignee;
- ☒ an original, signed Certificate Under 37 CFR § 3.73(b);
- ☒ an Application Data Sheet;

☒ payment in the amount of \$1,614.00 for the

- ☒ application filing fee;
- ☒ fee for additional claims; and
- ☒ missing parts surcharge.

☒ Other:

☒ Information Disclosure Statement, form PTO-1449, and 23 references.

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,

ERIC C. ANDERSON *et al.*

Dated: March 26, 2002

By: 

Kirk A. Gottlieb, Reg. No. 42,596

Attorney for Applicants

Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2414

Fax: (415) 281-1350

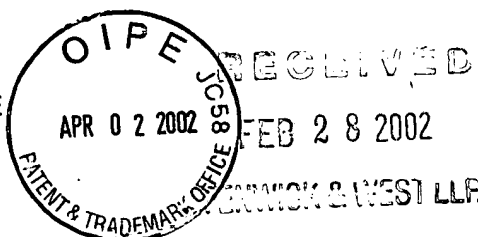


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,869	11/21/2001	Eric C. Anderson	18602-06513

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306



CONFIRMATION NO. 2181

FORMALITIES LETTER



OC000000007506839

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$744.
 - \$324 for 18 total claims over 20.
 - \$420 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1614.**
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

*A copy of this notice **MUST** be returned with the reply.*

04/03/2002 CNGUYEN 00000036 09990869

01 FC:101 740.00 OP
02 FC:102 420.00 OP
03 FC:103 324.00 OP
04 FC:105 130.00 OP
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE